

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

x  
:  
: Chapter 11  
:  
:  
: Case No. 08-13555 (SCC)  
:  
:  
: (Jointly Administered)  
:  
:  
: Ref. Docket Nos. 52591, 52593,  
: 52595 – 52600  
:  
:  
:  
X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On April 29, 2016, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated April 29, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Forrest Kuffer  
Forrest Kuffer

Sworn to before me this  
3<sup>rd</sup> day of May, 2016

/s/ Sidney J. Garabato

Notary Public, State of New York

No. 01GA6218946

Qualified in New York County

Commission Expires March 15, 2018

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

CITIGROUP GLOBAL MARKETS, INC.  
ATTENTION: EDWARD G. TURAN  
388 GREENWICH ST  
NEW YORK, NY 10013-2375

CITIGROUP GLOBAL MARKETS, INC.  
DOUGLAS R DAVIS  
PAUL, WEISS, RIFKIND, WHARTON & GARRISON,  
LLP  
1285 AVENUE OF THE AMERICAS  
NEW YORK, NY 10019-6064

Please note that your claim # 60607 in the above referenced case and in the amount of  
\$1,207,085.00 allowed at \$19,784.36 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000105090102 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 31879



CITIGROUP FINANCIAL PRODUCTS INC.  
C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP  
ATTN: ELANIT A. SNOW  
1285 AVENUE OF THE AMERICAS  
NE WYORK, NY 10019

CITIGROUP FINANCIAL PRODUCTS INC.  
TRANSFEROR: CITIGROUP GLOBAL MARKETS, INC.  
ATTN: KENNETH KEELEY  
390 GREENWICH STREET, 4TH FLOOR  
NEW YORK, NY 10013

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 52591 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 04/29/2016 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez  
\_\_\_\_\_  
EPIQ BANKRUPTCY SOLUTIONS, LLC  
as claims agent for the debtor(s).

## **EXHIBIT B**

Claim Name	Address Information
BANQUE PICTET & CIE SA	TRANSFEROR: HSBC PRIVATE BANK SUISSE SA, ATTN: DAVID AESCHLIMANN, ROUTE DES ACACIAS 60, GENEVA 73 1211 SWITZERLAND
CHINA GATEWAY LTD	C/O GARRY TITTERTON, FOUR GABLES, ST. ANNE'S ROAD, EASTBOURNE, EAST SUSSEX BN21 2HR UNITED KINGDOM
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: CITIGROUP GLOBAL MARKETS, INC., ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: ELANIT A. SNOW, 1285 AVENUE OF THE AMERICAS, NE WYORK, NY 10019
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: CITIGROUP GLOBAL MARKETS, INC., ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: ELANIT A. SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: CITIGROUP GLOBAL MARKETS, INC., ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: ELANIT A. SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: CITIGROUP GLOBAL MARKETS, INC., ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: ELANIT A. SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
CITIGROUP GLOBAL MARKETS, INC.	ATTENTION: EDWARD G. TURAN, 388 GREENWICH ST, NEW YORK, NY 10013-2375
CITIGROUP GLOBAL MARKETS, INC.	DOUGLAS R DAVIS, PAUL, WEISS, RIFKIND, WHARTON & GARRISON, LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CITIGROUP GLOBAL MARKETS, INC.	TRANSFEROR: ALPHA BANK A.E., ATTN: MARC HEIMOWITZ, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP GLOBAL MARKETS, INC.	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
CITIGROUP GLOBAL MARKETS, INC.	ATTENTION: EDWARD G. TURAN, 388 GREENWICH STREET, NEW YORK, NY 10013-2375
CITIGROUP GLOBAL MARKETS, INC.	DOUGLAS R DAVIS, PAUL, WEISS, RIFKIND, WHARTON & GARRISON, LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CITIGROUP GLOBAL MARKETS, INC.	ATTENTION: EDWARD G. TURAN, 388 GREENWICH ST, NEW YORK, NY 10013-2375
CITIGROUP GLOBAL MARKETS, INC.	DOUGLAS R DAVIS, PAUL, WEISS, RIFKIND, WHARTON & GARRISON, LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE FUND VI-A LP, THE, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE FUND VI-A LP, THE, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE FUND VI-A LP, THE, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
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DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE FUND VI-A LP, THE, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM

[illegible]

Claim Name	Address Information
DEUTSCHE BANK AG, LONDON BRANCH	HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE INVESTMENT PARTNERS LP, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE INVESTMENT PARTNERS LP, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE INVESTMENT PARTNERS LP, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
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DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE INVESTMENT PARTNERS LP, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE INVESTMENT PARTNERS LP, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
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DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: VARDE INVESTMENT PARTNERS LP, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
HSBC PRIVATE BANK SUISSE SA	ATTN: JACQUES DUROUVENOS, SECURITIES DEPARTMENT MANAGER, QUAI GENERAL-GUISAN 2, GENEVA 3 1211 SWITZERLAND
TITTERTON, GARRY	TRANSFEROR: CHINA GATEWAY LTD, FOUR GABLES, 47 ST. ANNE'S ROAD, EASTBOURNE, EAST SUSSEX BN21 2HR UNITED KINGDOM
TITTERTON, GARRY	C/O HSBC BANK, 94 TERMINUS ROAD, EASTBOURNE, EAST SUSSEX BN21 3ND UNITED KINGDOM
VARDE FUND VI-A LP, THE	TRANSFEROR: ILLIQUIDX LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: YORVIK PARTNERS LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
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VARDE FUND VI-A LP, THE	TRANSFEROR: SEA PORT GROUP SECURITIES, LLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: SEA PORT GROUP SECURITIES, LLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN55402

Claim Name	Address Information
VARDE FUND VI-A LP, THE	TRANSFEROR: SEA PORT GROUP SECURITIES, LLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: THE SEAPORT GROUP EUROPE LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: THE SEAPORT GROUP EUROPE LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: ILLIQUIDX LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
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VARDE FUND VI-A LP, THE	TRANSFEROR: YORVIK PARTNERS LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: JPMORGAN CHASE BANK, N.A., ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: ILLIQUIDX LTD, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: THE SEAPORT GROUP EUROPE LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
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VARDE FUND VI-A LP, THE	TRANSFEROR: ILLIQUIDX LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: ILLIQUIDX LTD, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: YORVIK PARTNERS LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
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VARDE FUND VI-A LP, THE	ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	C/O BROWN RUDNICK LLP, HOWARD STEEL, ESQ, SEVEN TIMES SQUARE, NEW YORK, NY 10036
VARDE FUND VI-A LP, THE	TRANSFEROR: ILLIQUIDX LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	TRANSFEROR: THE SEAPORT GROUP EUROPE LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
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VARDE FUND VI-A LP, THE	ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S, SUITE 3300, MINNEAPOLIS, MN 55402
VARDE FUND VI-A LP, THE	C/O BROWN RUDNICK LLP, HOWARD STEEL, ESQ, SEVEN TIMES SQUARE, NEW YORK, NY 10036
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: ILLIQUIDX LLP, ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: THE VARDE FUND, L.P., ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: SELECT-INVEST FINANCIAL SERVICE AG, ATTN: EDWINA STEFFER, 901



Claim Name	Address Information
VARDE INVESTMENT PARTNERS LP	MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: THE VARDE FUND, L.P., ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: THE VARDE FUND, L.P., ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: THE VARDE FUND LP, ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: SEA PORT GROUP SECURITIES, LLC, ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS LP	TRANSFEROR: THE VARDE FUND, L.P., ATTN: EDWINA STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
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VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: YORVIK PARTNERS LLP, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: THE VARDE FUND, L.P., ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: THE VARDE FUND, L.P., ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: THE VARDE FUND, L.P., ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: BARCLAYS BANK PLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402

<b>Total Creditor Count 125</b>
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